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03CO/18

Docket No. 99-111CIP1  
**PATENT**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Customer Service Center, Initial Patent Examination Division, Assistant Commissioner for Patents, Washington, D.C. 20231 on 2/13/02.

BY:

Suzanne Shadley  
Suzanne Shadley

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Boussie et al.

Serial No.: 09/854,128

Group Art Unit: 1743

Filed: May 10, 2001

For: Polymer Libraries on a Substrate  
Method OF Forming Polymer  
Libraries on a Substrate and  
Characterization Methods with  
Same

Examiner: Unknown

Customer Service Center, Initial Patent Examination Division  
Assistant Commissioner for Patents  
Washington D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith (check all that apply):

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> Preliminary Amendment  | <input type="checkbox"/> Information Disclosure Statement                    |
| <input type="checkbox"/> Response/Amendment  | <input type="checkbox"/> Petition Under 37 CFR 1.97(d)(2)                    |
| <input type="checkbox"/> Response/Amendment After Final  | <input checked="" type="checkbox"/> Formal Drawings (2 sheets)               |
| <input type="checkbox"/> Supplemental Amendment  | <input type="checkbox"/> Declaration Under 37 CFR 1.131                      |
| <input type="checkbox"/> Affidavits/Declarations   | <input type="checkbox"/> Declaration Under 37 CFR 1.132                      |
| <input type="checkbox"/> Declaration and Power of Attorney   | <input type="checkbox"/> Terminal Disclaimer                                 |
| <input type="checkbox"/> Supplemental Declaration  | <input type="checkbox"/> Small Entity Statement                              |
| <input type="checkbox"/> Associate Power of Attorney   | <input type="checkbox"/> Request for Refund                                  |
| <input type="checkbox"/> Change of Correspondence Address  | <input type="checkbox"/> Appeal  |
| <input type="checkbox"/> Associate Power of Attorney   | <input checked="" type="checkbox"/> Petition Pursuant to 37 C.F.R. § 1.53(b) |
| <input checked="" type="checkbox"/> Response to Notice of Incomplete<br>Nonprovisional Application | <input type="checkbox"/> Status Letter                                       |

to be filed in the above-identified patent application.

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**TOTAL AMOUNT OF PAYMENT: \$130.00**

**METHOD OF PAYMENT**

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-0496

Deposit Account Name: Symyx Technologies, Inc.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set at 37 CFR 1.18 at the Mailing of the Notice of Allowance

**FEE CALCULATION**

**1. EXTRA CLAIM FEES**

		Extra Claims		Fee from below		Fee Paid
Total Claims		-20** =		X		
Independent Claims		-3** =		X		
Multiple Dependent Claims (first appearance) \$280/140						

\*\*or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
				<b>SUBTOTAL (2) (\$)</b>

## 2. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge – late filing fee or oath	
127	50	227	25	Surcharge – late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,280	241	640	Petition to revive – unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) Pursuant to 37 C.F.R. §1.17					\$130.00
SUBTOTAL (3) (\$)					130.00

\*Reduced by Basic Filing Fee Paid

Respectfully submitted,



Ronald A. Krasnow  
Reg. No. 33,321  
Attorney for Applicant(s)

Date: 2-13-02

Symyx Technologies  
3100 Central Expressway  
Santa Clara, California 95051  
Tel.: (408) 764-2000 Fax: (408) 773-4029



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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/854,128		Thomas Boussie	99-111CIP1

22905  
SYMYX TECHNOLOGIES INC  
LEGAL DEPARTMENT  
3100 CENTRAL EXPRESS  
SANTA CLARA, CA 95051

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FEB 08 2002

Symyx Technologies  
Legal Department

CONFIRMATION NO. 7420

WITHDRAWAL NOTICE



\*OC000000007409516\*


Date Mailed: 02/01/2002

### WITHDRAWAL OF PREVIOUSLY SENT NOTICE

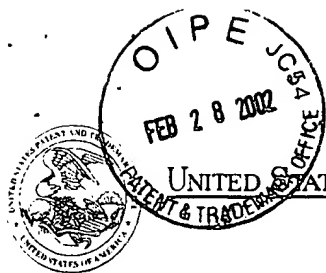
The Notice mailed on 07/11/2001 was sent in error and is hereby withdrawn. A corrected Notice is enclosed. The time period for reply runs from the mail date of the corrected Notice. We apologize for any inconvenience this caused.

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/854,128	05/10/2001	Thomas Boussie	99-111CIP1

CONFIRMATION NO. 7420

22905  
SYMYX TECHNOLOGIES INC  
LEGAL DEPARTMENT  
3100 CENTRAL EXPRESS  
SANTA CLARA, CA 95051

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FORMALITIES LETTER



\*OC000000007409566\*

Date Mailed: 02/01/2002

## NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

- o The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." *Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).*

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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